

## 1. GENERAL RELATIONSHIPS AND TECHNIQUES

which is essentially  $\Phi$  periodized by period lattice  $\Lambda = (\Lambda^*)^*$ , with period matrix  $\mathbf{A}$ .

Let us assume that  $\Lambda$  is such that the translates of  $K$  by different period vectors of  $\Lambda$  are disjoint. Then we may recover  $\Phi$  from  $R * \Phi$  by masking the contents of a ‘unit cell’  $\mathcal{V}$  of  $\Lambda$  (i.e. a fundamental domain for the action of  $\Lambda$  in  $\mathbb{R}^n$ ) whose boundary does not meet  $K$ . If  $\chi_{\mathcal{V}}$  is the indicator function of  $\mathcal{V}$ , then

$$\Phi = \chi_{\mathcal{V}} \times (R * \Phi).$$

Transforming both sides by  $\tilde{\mathcal{F}}$  yields

$$\varphi = \tilde{\mathcal{F}} \left[ \chi_{\mathcal{V}} \times \frac{1}{|\det \mathbf{A}|} \tilde{\mathcal{F}}[R * \Phi] \right],$$

i.e.

$$\varphi = \left( \frac{1}{V} \tilde{\mathcal{F}}[\chi_{\mathcal{V}}] \right) * (R * \Phi)$$

since  $|\det \mathbf{A}|$  is the volume  $V$  of  $\mathcal{V}$ .

This interpolation formula is traditionally credited to Shannon (1949), although it was discovered much earlier by Whittaker (1915). It shows that  $\varphi$  may be recovered from its sample values on  $\Lambda^*$  (i.e. from  $R * \Phi$ ) provided  $\Lambda^*$  is sufficiently fine that no overlap (or ‘aliasing’) occurs in the periodization of  $\Phi$  by the dual lattice  $\Lambda$ . The interpolation kernel is the transform of the normalized indicator function of a unit cell of  $\Lambda$  containing the support  $K$  of  $\Phi$ .

If  $K$  is contained in a sphere of radius  $1/\Delta$  and if  $\Lambda$  and  $\Lambda^*$  are rectangular, the length of each basis vector of  $\Lambda$  must be greater than  $2/\Delta$ , and thus the sampling interval must be smaller than  $\Delta/2$ . This requirement constitutes the Shannon sampling criterion.

### 1.3.2.7.2. Duality between subdivision and decimation of period lattices

#### 1.3.2.7.2.1. Geometric description of sublattices

Let  $\Lambda_{\mathbf{A}}$  be a period lattice in  $\mathbb{R}^n$  with matrix  $\mathbf{A}$ , and let  $\Lambda_{\mathbf{A}}^*$  be the lattice reciprocal to  $\Lambda_{\mathbf{A}}$ , with period matrix  $(\mathbf{A}^{-1})^T$ . Let  $\Lambda_{\mathbf{B}}, \mathbf{B}, \Lambda_{\mathbf{B}}^*$  be defined similarly, and let us suppose that  $\Lambda_{\mathbf{A}}$  is a sublattice of  $\Lambda_{\mathbf{B}}$ , i.e. that  $\Lambda_{\mathbf{B}} \supset \Lambda_{\mathbf{A}}$  as a set.

The relation between  $\Lambda_{\mathbf{A}}$  and  $\Lambda_{\mathbf{B}}$  may be described in two different fashions: (i) multiplicatively, and (ii) additively.

(i) We may write  $\mathbf{A} = \mathbf{B}\mathbf{N}$  for some non-singular matrix  $\mathbf{N}$  with integer entries.  $\mathbf{N}$  may be viewed as the period matrix of the coarser lattice  $\Lambda_{\mathbf{A}}$  with respect to the period basis of the finer lattice  $\Lambda_{\mathbf{B}}$ . It will be more convenient to write  $\mathbf{A} = \mathbf{D}\mathbf{B}$ , where  $\mathbf{D} = \mathbf{B}\mathbf{N}\mathbf{B}^{-1}$  is a rational matrix (with integer determinant since  $\det \mathbf{D} = \det \mathbf{N}$ ) in terms of which the two lattices are related by

$$\Lambda_{\mathbf{A}} = \mathbf{D}\Lambda_{\mathbf{B}}.$$

(ii) Call two vectors in  $\Lambda_{\mathbf{B}}$  congruent modulo  $\Lambda_{\mathbf{A}}$  if their difference lies in  $\Lambda_{\mathbf{A}}$ . Denote the set of congruence classes (or ‘cosets’) by  $\Lambda_{\mathbf{B}}/\Lambda_{\mathbf{A}}$ , and the number of these classes by  $[\Lambda_{\mathbf{B}} : \Lambda_{\mathbf{A}}]$ . The ‘coset decomposition’

$$\Lambda_{\mathbf{B}} = \bigcup_{\ell \in \Lambda_{\mathbf{B}}/\Lambda_{\mathbf{A}}} (\ell + \Lambda_{\mathbf{A}})$$

represents  $\Lambda_{\mathbf{B}}$  as the disjoint union of  $[\Lambda_{\mathbf{B}} : \Lambda_{\mathbf{A}}]$  translates of  $\Lambda_{\mathbf{A}}$ .  $\Lambda_{\mathbf{B}}/\Lambda_{\mathbf{A}}$  is a finite lattice with  $[\Lambda_{\mathbf{B}} : \Lambda_{\mathbf{A}}]$  elements, called the residual lattice of  $\Lambda_{\mathbf{B}}$  modulo  $\Lambda_{\mathbf{A}}$ .

The two descriptions are connected by the relation  $[\Lambda_{\mathbf{B}} : \Lambda_{\mathbf{A}}] = \det \mathbf{D} = \det \mathbf{N}$ , which follows from a volume calculation. We may also combine (i) and (ii) into

$$(iii) \quad \Lambda_{\mathbf{B}} = \bigcup_{\ell \in \Lambda_{\mathbf{B}}/\Lambda_{\mathbf{A}}} (\ell + \mathbf{D}\Lambda_{\mathbf{B}})$$

which may be viewed as the  $n$ -dimensional equivalent of the Euclidean algorithm for integer division:  $\ell$  is the ‘remainder’ of the division by  $\Lambda_{\mathbf{A}}$  of a vector in  $\Lambda_{\mathbf{B}}$ , the quotient being the matrix  $\mathbf{D}$ .

#### 1.3.2.7.2.2. Sublattice relations for reciprocal lattices

Let us now consider the two reciprocal lattices  $\Lambda_{\mathbf{A}}^*$  and  $\Lambda_{\mathbf{B}}^*$ . Their period matrices  $(\mathbf{A}^{-1})^T$  and  $(\mathbf{B}^{-1})^T$  are related by:  $(\mathbf{B}^{-1})^T = (\mathbf{A}^{-1})^T \mathbf{N}^T$ , where  $\mathbf{N}^T$  is an integer matrix; or equivalently by  $(\mathbf{B}^{-1})^T = \mathbf{D}^T (\mathbf{A}^{-1})^T$ . This shows that the roles are reversed in that  $\Lambda_{\mathbf{B}}^*$  is a sublattice of  $\Lambda_{\mathbf{A}}^*$ , which we may write:

$$(i)^* \quad \Lambda_{\mathbf{B}}^* = \mathbf{D}^T \Lambda_{\mathbf{A}}^*$$

$$(ii)^* \quad \Lambda_{\mathbf{A}}^* = \bigcup_{\ell^* \in \Lambda_{\mathbf{A}}^*/\Lambda_{\mathbf{B}}^*} (\ell^* + \Lambda_{\mathbf{B}}^*).$$

The residual lattice  $\Lambda_{\mathbf{A}}^*/\Lambda_{\mathbf{B}}^*$  is finite, with  $[\Lambda_{\mathbf{A}}^* : \Lambda_{\mathbf{B}}^*] = \det \mathbf{D} = \det \mathbf{N} = [\Lambda_{\mathbf{B}} : \Lambda_{\mathbf{A}}]$ , and we may again combine (i)<sup>\*</sup> and (ii)<sup>\*</sup> into

$$(iii)^* \quad \Lambda_{\mathbf{A}}^* = \bigcup_{\ell^* \in \Lambda_{\mathbf{A}}^*/\Lambda_{\mathbf{B}}^*} (\ell^* + \mathbf{D}^T \Lambda_{\mathbf{A}}^*).$$

#### 1.3.2.7.2.3. Relation between lattice distributions

The above relations between lattices may be rewritten in terms of the corresponding lattice distributions as follows:

$$(i) \quad R_{\mathbf{A}} = \frac{1}{|\det \mathbf{D}|} \mathbf{D}^{\#} R_{\mathbf{B}}^*$$

$$(ii) \quad R_{\mathbf{B}} = T_{\mathbf{B}/\mathbf{A}} * R_{\mathbf{A}}$$

$$(i)^* \quad R_{\mathbf{B}}^* = \frac{1}{|\det \mathbf{D}|} (\mathbf{D}^T)^{\#} R_{\mathbf{A}}^*$$

$$(ii)^* \quad R_{\mathbf{A}}^* = T_{\mathbf{A}/\mathbf{B}}^* * R_{\mathbf{B}}^*$$

where

$$T_{\mathbf{B}/\mathbf{A}} = \sum_{\ell \in \Lambda_{\mathbf{B}}/\Lambda_{\mathbf{A}}} \delta_{(\ell)}$$

and

$$T_{\mathbf{A}/\mathbf{B}}^* = \sum_{\ell^* \in \Lambda_{\mathbf{A}}^*/\Lambda_{\mathbf{B}}^*} \delta_{(\ell^*)}$$

are (finite) residual-lattice distributions. We may incorporate the factor  $1/|\det \mathbf{D}|$  in (i) and (i)<sup>\*</sup> into these distributions and define

$$S_{\mathbf{B}/\mathbf{A}} = \frac{1}{|\det \mathbf{D}|} T_{\mathbf{B}/\mathbf{A}}, \quad S_{\mathbf{A}/\mathbf{B}}^* = \frac{1}{|\det \mathbf{D}|} T_{\mathbf{A}/\mathbf{B}}^*.$$

Since  $|\det \mathbf{D}| = [\Lambda_{\mathbf{B}} : \Lambda_{\mathbf{A}}] = [\Lambda_{\mathbf{A}}^* : \Lambda_{\mathbf{B}}^*]$ , convolution with  $S_{\mathbf{B}/\mathbf{A}}$  and  $S_{\mathbf{A}/\mathbf{B}}^*$  has the effect of averaging the translates of a distribution under the elements (or ‘cosets’) of the residual lattices  $\Lambda_{\mathbf{B}}/\Lambda_{\mathbf{A}}$  and  $\Lambda_{\mathbf{A}}^*/\Lambda_{\mathbf{B}}^*$ , respectively. This process will be called ‘coset averaging’. Eliminating  $R_{\mathbf{A}}$  and  $R_{\mathbf{B}}$  between (i) and (ii), and  $R_{\mathbf{A}}^*$  and  $R_{\mathbf{B}}^*$  between (i)<sup>\*</sup> and (ii)<sup>\*</sup>, we may write:

$$(i') \quad R_{\mathbf{A}} = \mathbf{D}^{\#} (S_{\mathbf{B}/\mathbf{A}} * R_{\mathbf{A}})$$

$$(ii') \quad R_{\mathbf{B}} = S_{\mathbf{B}/\mathbf{A}} * (\mathbf{D}^{\#} R_{\mathbf{B}})$$

$$(i')^* \quad R_{\mathbf{B}}^* = (\mathbf{D}^T)^{\#} (S_{\mathbf{A}/\mathbf{B}}^* * R_{\mathbf{B}}^*)$$

$$(ii')^* \quad R_{\mathbf{A}}^* = S_{\mathbf{A}/\mathbf{B}}^* * [(\mathbf{D}^T)^{\#} R_{\mathbf{A}}^*].$$

These identities show that period subdivision by convolution with

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$S_{B/A}$  (respectively  $S_{A/B}^*$ ) on the one hand, and *period decimation* by ‘dilation’ by  $\mathbf{D}^\#$  on the other hand, are mutually inverse operations on  $R_A$  and  $R_B$  (respectively  $R_A^*$  and  $R_B^*$ ).

#### 1.3.2.7.2.4. Relation between Fourier transforms

Finally, let us consider the relations between the *Fourier transforms* of these lattice distributions. Recalling the basic relation of Section 1.3.2.6.5,

$$\begin{aligned}\mathcal{F}[R_A] &= \frac{1}{|\det \mathbf{A}|} R_A^* \\ &= \frac{1}{|\det \mathbf{DB}|} T_{A/B}^* * R_B^* && \text{by (ii)*} \\ &= \left( \frac{1}{|\det \mathbf{D}|} T_{A/B}^* \right) * \left( \frac{1}{|\det \mathbf{B}|} R_B^* \right)\end{aligned}$$

i.e.

$$(iv) \quad \mathcal{F}[R_A] = S_{A/B}^* * \mathcal{F}[R_B]$$

and similarly:

$$(v) \quad \mathcal{F}[R_B] = S_{B/A} * \mathcal{F}[R_A^*].$$

Thus  $R_A$  (respectively  $R_B^*$ ), a *decimated* version of  $R_B$  (respectively  $R_A^*$ ), is transformed by  $\mathcal{F}$  into a *subdivided* version of  $\mathcal{F}[R_B]$  (respectively  $\mathcal{F}[R_A^*]$ ).

The converse is also true:

$$\begin{aligned}\mathcal{F}[R_B] &= \frac{1}{|\det \mathbf{B}|} R_B^* \\ &= \frac{1}{|\det \mathbf{B}|} \frac{1}{|\det \mathbf{D}|} (\mathbf{D}^T)^\# R_A^* && \text{by (i)*} \\ &= (\mathbf{D}^T)^\# \left( \frac{1}{|\det \mathbf{A}|} R_A^* \right)\end{aligned}$$

i.e.

$$(iv') \quad \mathcal{F}[R_B] = (\mathbf{D}^T)^\# \mathcal{F}[R_A]$$

and similarly

$$(v') \quad \mathcal{F}[R_A^*] = \mathbf{D}^\# \mathcal{F}[R_B^*].$$

Thus  $R_B$  (respectively  $R_A^*$ ), a *subdivided* version of  $R_A$  (respectively  $R_B^*$ ) is transformed by  $\mathcal{F}$  into a *decimated* version of  $\mathcal{F}[R_A]$  (respectively  $\mathcal{F}[R_B^*]$ ). Therefore, *the Fourier transform exchanges subdivision and decimation of period lattices for lattice distributions.*

Further insight into this phenomenon is provided by applying  $\tilde{\mathcal{F}}$  to both sides of (iv) and (v) and invoking the convolution theorem:

$$(iv'') \quad R_A = \tilde{\mathcal{F}}[S_{A/B}^*] \times R_B$$

$$(v'') \quad R_B^* = \tilde{\mathcal{F}}[S_{B/A}] \times R_A^*.$$

These identities show that multiplication by the transform of the period-subdividing distribution  $S_{A/B}^*$  (respectively  $S_{B/A}$ ) has the effect of decimating  $R_B$  to  $R_A$  (respectively  $R_A^*$  to  $R_B^*$ ). They clearly imply that, if  $\ell \in \Lambda_B/\Lambda_A$  and  $\ell^* \in \Lambda_A^*/\Lambda_B^*$ , then

$$\begin{aligned}\tilde{\mathcal{F}}[S_{A/B}^*](\ell) &= 1 \text{ if } \ell = \mathbf{0} && \text{(i.e. if } \ell \text{ belongs} \\ & && \text{to the class of } \Lambda_A), \\ &= 0 \text{ if } \ell \neq \mathbf{0};\end{aligned}$$

$$\begin{aligned}\tilde{\mathcal{F}}[S_{B/A}](\ell^*) &= 1 \text{ if } \ell^* = \mathbf{0} && \text{(i.e. if } \ell^* \text{ belongs} \\ & && \text{to the class of } \Lambda_B^*), \\ &= 0 \text{ if } \ell^* \neq \mathbf{0}.\end{aligned}$$

Therefore, the duality between subdivision and decimation may be viewed as another aspect of that between convolution and multiplication.

There is clearly a strong analogy between the sampling/periodization duality of Section 1.3.2.6.6 and the decimation/subdivision duality, which is viewed most naturally in terms of subgroup relationships: both sampling and decimation involve restricting a function to a *discrete additive subgroup* of the domain over which it is initially given.

#### 1.3.2.7.2.5. Sublattice relations in terms of periodic distributions

The usual presentation of this duality is not in terms of lattice distributions, but of periodic distributions obtained by convolving them with a motif.

Given  $T^0 \in \mathcal{E}'(\mathbb{R}^n)$ , let us form  $R_A * T^0$ , then *decimate* its transform  $(1/|\det \mathbf{A}|)R_A^* \times \tilde{\mathcal{F}}[T^0]$  by keeping only its values at the points of the coarser lattice  $\Lambda_B^* = \mathbf{D}^T \Lambda_A^*$ ; as a result,  $R_A^*$  is replaced by  $(1/|\det \mathbf{D}|)R_B^*$ , and the reverse transform then yields

$$\frac{1}{|\det \mathbf{D}|} R_B * T^0 = S_{B/A} * (R_A * T^0) \quad \text{by (ii),}$$

which is the *coset-averaged* version of the original  $R_A * T^0$ . The converse situation is analogous to that of Shannon's sampling theorem. Let a function  $\varphi \in \mathcal{E}(\mathbb{R}^n)$  whose transform  $\Phi = \tilde{\mathcal{F}}[\varphi]$  has compact support be sampled as  $R_B \times \varphi$  at the nodes of  $\Lambda_B$ . Then

$$\mathcal{F}[R_B \times \varphi] = \frac{1}{|\det \mathbf{B}|} (R_B^* * \Phi)$$

is periodic with period lattice  $\Lambda_B^*$ . If the sampling lattice  $\Lambda_B$  is decimated to  $\Lambda_A = \mathbf{D}\Lambda_B$ , the inverse transform becomes

$$\begin{aligned}\mathcal{F}[R_A \times \varphi] &= \frac{1}{|\det \mathbf{D}|} (R_A^* * \Phi) \\ &= S_{A/B}^* * (R_B^* * \Phi) && \text{by (ii)*,}\end{aligned}$$

hence becomes periodized more finely by averaging over the cosets of  $\Lambda_A^*/\Lambda_B^*$ . With this finer periodization, the various copies of  $\text{Supp } \Phi$  may start to overlap (a phenomenon called ‘aliasing’), indicating that decimation has produced too coarse a sampling of  $\varphi$ .

#### 1.3.2.7.3. Discretization of the Fourier transformation

Let  $\varphi^0 \in \mathcal{E}(\mathbb{R}^n)$  be such that  $\Phi^0 = \tilde{\mathcal{F}}[\varphi^0]$  has compact support ( $\varphi^0$  is said to be *band-limited*). Then  $\varphi = R_A * \varphi^0$  is  $\Lambda_A$ -periodic, and  $\Phi = \tilde{\mathcal{F}}[\varphi] = (1/|\det \mathbf{A}|)R_A^* \times \Phi^0$  is such that only a finite number of points  $\lambda_A^*$  of  $\Lambda_A^*$  have a non-zero Fourier coefficient  $\Phi^0(\lambda_A^*)$  attached to them. We may therefore find a *decimation*  $\Lambda_B^* = \mathbf{D}^T \Lambda_A^*$  of  $\Lambda_A^*$  such that the distinct translates of  $\text{Supp } \Phi^0$  by vectors of  $\Lambda_B^*$  do not intersect.

The distribution  $\Phi$  can be uniquely recovered from  $R_B^* * \Phi$  by the procedure of Section 1.3.2.7.1, and we may write:

$$\begin{aligned}R_B^* * \Phi &= \frac{1}{|\det \mathbf{A}|} R_B^* * (R_A^* \times \Phi^0) \\ &= \frac{1}{|\det \mathbf{A}|} R_A^* \times (R_B^* * \Phi^0) \\ &= \frac{1}{|\det \mathbf{A}|} R_B^* * [T_{A/B}^* \times (R_B^* * \Phi^0)];\end{aligned}$$

these rearrangements being legitimate because  $\Phi^0$  and  $T_{A/B}^*$  have compact supports which are intersection-free under the action of  $\Lambda_B^*$ . By virtue of its  $\Lambda_B^*$ -periodicity, this distribution is entirely characterized by its ‘motif’  $\Phi$  with respect to  $\Lambda_B^*$ :