

1. GENERAL RELATIONSHIPS AND TECHNIQUES

of the distinct orbits for the action of G in X may be obtained in the form

$$D_k = X_1 \times \dots \times X_{k-1} \times \{x_{i_k}^{(k)}\}_{i_k \in I_k} \times X_{k+1} \times \dots \times X_n$$

for each $k = 1, 2, \dots, n$, i.e. by taking a fundamental domain in X_k and all the elements in X_j with $j \neq k$. The action of G on each D_k does indeed generate the whole of X : given an arbitrary element $y = (y_1, y_2, \dots, y_n)$ of X , there is an index $i_k \in I_k$ such that $y_k \in Gx_{i_k}^{(k)}$ and a coset of $G_{x_{i_k}^{(k)}}$ in G such that $y_k = \gamma x_{i_k}^{(k)}$ for any representative γ of that coset; then

$$y = \gamma(\gamma^{-1}y_1, \dots, \gamma^{-1}y_{k-1}, x_{i_k}^{(k)}, \gamma^{-1}y_{k+1}, \dots, \gamma^{-1}y_n)$$

which is of the form $y = \gamma d_k$ with $d_k \in D_k$.

The various D_k are related in a simple manner by ‘transposition’ or ‘orbit exchange’ (the latter name is due to J. W. Cooley). For instance, D_j may be obtained from D_k ($j \neq k$) as follows: for each $y_j \in X_j$ there exists $g(y_j) \in G$ and $i_j(y_j) \in I_j$ such that $y_j = g(y_j)x_{i_j(y_j)}^{(j)}$; therefore

$$D_j = \bigcup_{y_j \in X_j} [g(y_j)]^{-1} D_k,$$

since the fundamental domain of X_k is thus expanded to the whole of X_k , while X_j is reduced to its fundamental domain. In other words: orbits are simultaneously collapsed in the j th factor and expanded in the k th.

When G operates without fixed points in each X_k (i.e. $G_{x_k} = \{e\}$ for all $x_k \in X_k$), then each D_k is a fundamental domain for the action of G in X . The existence of fixed points in some or all of the X_k complicates the situation in that for each k and each $x_k \in X_k$ such that $G_{x_k} \neq \{e\}$ the action of G/G_{x_k} on the other factors must be examined. Shenefelt (1988) has made a systematic study of orbit exchange for space group $P622$ and its subgroups.

Orbit exchange will be encountered, in a great diversity of forms, as the basic mechanism by which intermediate results may be rearranged between the successive stages of the computation of crystallographic Fourier transforms (Section 1.3.4.3).

1.3.4.2.2.3. Classification of crystallographic groups

Let Γ be a crystallographic group, Λ the normal subgroup of its lattice translations, and G the finite factor group Γ/Λ . Then G acts on Λ by conjugation [Section 1.3.4.2.2(d)] and this action, being a mapping of a lattice into itself, is representable by matrices with integer entries.

The classification of crystallographic groups proceeds from this observation in the following three steps:

Step 1: find all possible finite abstract groups G which can be represented by 3×3 integer matrices.

Step 2: for each such G find all its inequivalent representations by 3×3 integer matrices, equivalence being defined by a change of primitive lattice basis (i.e. conjugation by a 3×3 integer matrix with determinant ± 1).

Step 3: for each G and each equivalence class of integral representations of G , find all inequivalent extensions of the action of G from Λ to $T(3)$, equivalence being defined by an affine coordinate change [i.e. conjugation by an element of $A(3)$].

Step 1 leads to the following groups, listed in association with the crystal system to which they later give rise:

$\mathbb{Z}/2\mathbb{Z}$	monoclinic
$\mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$	orthorhombic
$\mathbb{Z}/3\mathbb{Z}, (\mathbb{Z}/3\mathbb{Z}) \rtimes \{\alpha\}$	trigonal
$\mathbb{Z}/4\mathbb{Z}, (\mathbb{Z}/4\mathbb{Z}) \rtimes \{\alpha\}$	tetragonal
$\mathbb{Z}/6\mathbb{Z}, (\mathbb{Z}/6\mathbb{Z}) \rtimes \{\alpha\}$	hexagonal
$(\mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}) \rtimes \{S_3\}$	cubic

and the extension of these groups by a centre of inversion. In this list \rtimes denotes a semi-direct product [Section 1.3.4.2.2(d)], α denotes the automorphism $g \mapsto g^{-1}$, and S_3 (the group of permutations on three letters) operates by permuting the copies of $\mathbb{Z}/2\mathbb{Z}$ (using the subgroup A_3 of cyclic permutations gives the tetrahedral subsystem).

Step 2 leads to a list of 73 equivalence classes called *arithmetic classes* of representations $g \mapsto \mathbf{R}_g$, where \mathbf{R}_g is a 3×3 integer matrix, with $\mathbf{R}_{g_1 g_2} = \mathbf{R}_{g_1} \mathbf{R}_{g_2}$ and $\mathbf{R}_e = \mathbf{I}_3$. This enumeration is more familiar if equivalence is relaxed so as to allow conjugation by rational 3×3 matrices with determinant ± 1 : this leads to the 32 crystal classes. The difference between an arithmetic class and its rational class resides in the choice of a lattice mode ($P, A/B/C, I, F$ or R). Arithmetic classes always refer to a primitive lattice, but may use inequivalent integral representations for a given geometric symmetry element; while crystallographers prefer to change over to a non-primitive lattice, if necessary, in order to preserve the same integral representation for a given geometric symmetry element. The matrices \mathbf{P} and $\mathbf{Q} = \mathbf{P}^{-1}$ describing the changes of basis between primitive and centred lattices are listed in Table 5.1 and illustrated in Figs. 5.3 to 5.9, pp. 76–79, of Volume A of *International Tables* (Arnold, 1995).

Step 3 gives rise to a system of congruences for the systems of non-primitive translations $\{\mathbf{t}_g\}_{g \in G}$ which may be associated to the matrices $\{\mathbf{R}_g\}_{g \in G}$ of a given arithmetic class, namely:

$$\mathbf{t}_{g_1 g_2} \equiv \mathbf{R}_{g_1} \mathbf{t}_{g_2} + \mathbf{t}_{g_1} \pmod{\Lambda},$$

first derived by Frobenius (1911). If equivalence under the action of $A(3)$ is taken into account, 219 classes are found. If equivalence is defined with respect to the action of the subgroup $A^+(3)$ of $A(3)$ consisting only of transformations with determinant $+1$, then 230 classes called *space-group types* are obtained. In particular, associating to each of the 73 arithmetic classes a trivial set of non-primitive translations ($\mathbf{t}_g = \mathbf{0}$ for all $g \in G$) yields the 73 symmetric space groups. This third step may also be treated as an abstract problem concerning group extensions, using cohomological methods [Ascher & Janner (1965); see Janssen (1973) for a summary]; the connection with Frobenius’s approach, as generalized by Zassenhaus (1948), is examined in Ascher & Janner (1968).

The finiteness of the number of space-group types in dimension 3 was shown by Bieberbach (1912) to be the case in arbitrary dimension. The reader interested in N -dimensional space-group theory for $N > 3$ may consult Brown (1969), Brown *et al.* (1978), Schwarzenberger (1980), and Engel (1986). The standard reference for integral representation theory is Curtis & Reiner (1962).

All three-dimensional space groups G have the property of being *solvable*, i.e. that there exists a chain of subgroups

$$G = G_r > G_{r-1} > \dots > G_1 > G_0 = \{e\},$$

where each G_{i-1} is a normal subgroup of G_i and the factor group G_i/G_{i-1} is a *cyclic* group of some order m_i ($1 \leq i \leq r$). This property may be established by inspection, or deduced from a famous theorem of Burnside [see Burnside (1911), pp. 322–323] according to which any group G such that $|G| = p^\alpha q^\beta$, with p and q distinct primes, is solvable; in the case at hand, $p = 2$ and $q = 3$.

1.3. FOURIER TRANSFORMS IN CRYSTALLOGRAPHY

The whole classification of 3D space groups can be performed swiftly by a judicious use of the solvability property (L. Auslander, personal communication).

Solvability facilitates the indexing of elements of G in terms of generators and relations (Coxeter & Moser, 1972; Magnus *et al.*, 1976) for the purpose of calculation. By definition of solvability, elements g_1, g_2, \dots, g_r may be chosen in such a way that the cyclic factor group G_i/G_{i-1} is generated by the coset $g_i G_{i-1}$. The set $\{g_1, g_2, \dots, g_r\}$ is then a system of generators for G such that the defining relations [see Brown *et al.* (1978), pp. 26–27] have the particularly simple form

$$\begin{aligned} g_1^{m_1} &= e, \\ g_i^{m_i} &= g_{i-1}^{a(i,i-1)} g_{i-2}^{a(i,i-2)} \dots g_1^{a(i,1)} \quad \text{for } 2 \leq i \leq r, \\ g_i^{-1} g_j^{-1} g_i g_j &= g_{j-1}^{b(i,j,j-1)} g_{j-2}^{b(i,j,j-2)} \dots g_1^{b(i,j,1)} \quad \text{for } 1 \leq i < j \leq r, \end{aligned}$$

with $0 \leq a(i, h) < m_h$ and $0 \leq b(i, j, h) < m_h$. Each element g of G may then be obtained uniquely as an ‘ordered word’:

$$g = g_r^{k_r} g_{r-1}^{k_{r-1}} \dots g_1^{k_1},$$

with $0 \leq k_i < m_i$ for all $i = 1, \dots, r$, using the algorithm of Jürgensen (1970). Such generating sets and defining relations are tabulated in Brown *et al.* (1978, pp. 61–76). An alternative list is given in Janssen (1973, Table 4.3, pp. 121–123, and Appendix D, pp. 262–271).

1.3.4.2.2.4. Crystallographic group action in real space

The action of a crystallographic group Γ may be written in terms of standard coordinates in $\mathbb{R}^3/\mathbb{Z}^3$ as

$$(g, \mathbf{x}) \mapsto S_g(\mathbf{x}) = \mathbf{R}_g \mathbf{x} + \mathbf{t}_g \pmod{\Lambda}, \quad g \in G,$$

with

$$S_{g_1 g_2} = S_{g_1} S_{g_2}.$$

An important characteristic of the representation $\theta : g \mapsto S_g$ is its *reducibility*, i.e. whether or not it has invariant subspaces other than $\{\mathbf{0}\}$ and the whole of $\mathbb{R}^3/\mathbb{Z}^3$. For triclinic, monoclinic and orthorhombic space groups, θ is reducible to a direct sum of three one-dimensional representations:

$$\mathbf{R}_g = \begin{pmatrix} \mathbf{R}_g^{(1)} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{R}_g^{(2)} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{R}_g^{(3)} \end{pmatrix};$$

for trigonal, tetragonal and hexagonal groups, it is reducible to a direct sum of two representations, of dimension 2 and 1, respectively; while for tetrahedral and cubic groups, it is irreducible.

By Schur’s lemma (see *e.g.* Ledermann, 1987), any matrix which commutes with all the matrices \mathbf{R}_g for $g \in G$ must be a scalar multiple of the identity in each invariant subspace.

In the reducible cases, the reductions involve changes of basis which will be *rational*, not integral, for those arithmetic classes corresponding to non-primitive lattices. Thus the simplification of having maximally reduced representation has as its counterpart the use of non-primitive lattices.

The notions of orbit, isotropy subgroup and fundamental domain (or asymmetric unit) for the action of G on $\mathbb{R}^3/\mathbb{Z}^3$ are inherited directly from the general setting of Section 1.3.4.2.2.2. Points \mathbf{x} for which $G_{\mathbf{x}} \neq \{e\}$ are called *special positions*, and the various types of isotropy subgroups which may be encountered in crystallographic groups have been labelled by means of Wyckoff symbols. The representation operators $S_g^\#$ in $L(\mathbb{R}^3/\mathbb{Z}^3)$ have the form:

$$[S_g^\# f](\mathbf{x}) = f[S_g^{-1}(\mathbf{x})] = f[\mathbf{R}_g^{-1}(\mathbf{x} - \mathbf{t}_g)].$$

The operators $R_g^\#$ associated to the purely rotational part of each transformation $S_g^\#$ will also be used. Note the relation: $S_g^\# = \tau_{\mathbf{t}_g} R_g^\#$.

Let a crystal structure be described by the list of the atoms in its unit cell, indexed by $k \in K$. Let the electron-density distribution about the centre of mass of atom k be described by ρ_k with respect to the standard coordinates \mathbf{x} . Then the motif ρ^0 may be written as a sum of translates:

$$\rho^0 = \sum_{k \in K} \tau_{\mathbf{x}_k} \rho_k$$

and the crystal electron density is $\rho = r^* \rho^0$.

Suppose that ρ is invariant under Γ . If \mathbf{x}_{k_1} and \mathbf{x}_{k_2} are in the same orbit, say $\mathbf{x}_{k_2} = S_g(\mathbf{x}_{k_1})$, then

$$\tau_{\mathbf{x}_{k_2}} \rho_{k_2} = S_g^\#(\tau_{\mathbf{x}_{k_1}} \rho_{k_1}).$$

Therefore if \mathbf{x}_k is a special position and thus $G_{\mathbf{x}_k} \neq \{e\}$, then

$$S_g^\#(\tau_{\mathbf{x}_k} \rho_k) = \tau_{\mathbf{x}_k} \rho_k \quad \text{for all } g \in G_{\mathbf{x}_k}.$$

This identity implies that

$$\mathbf{R}_g \mathbf{x}_k + \mathbf{t}_g \equiv \mathbf{x}_k \pmod{\Lambda}$$

(the special position condition), and that

$$\rho_k = R_g^\# \rho_k,$$

i.e. that ρ_k must be invariant by the pure rotational part of $G_{\mathbf{x}_k}$. Trueblood (1956) investigated the consequences of this invariance on the thermal vibration tensor of an atom in a special position (see Section 1.3.4.2.2.6 below).

Let J be a subset of K such that $\{\mathbf{x}_j\}_{j \in J}$ contains exactly one atom from each orbit. An orbit decomposition yields an expression for ρ^0 in terms of symmetry-unique atoms:

$$\rho^0 = \sum_{j \in J} \left(\sum_{\gamma_j \in G/G_{\mathbf{x}_j}} S_{\gamma_j}^\#(\tau_{\mathbf{x}_j} \rho_j) \right)$$

or equivalently

$$\rho^0(\mathbf{x}) = \sum_{j \in J} \left\{ \sum_{\gamma_j \in G/G_{\mathbf{x}_j}} \rho_j [\mathbf{R}_{\gamma_j}^{-1}(\mathbf{x} - \mathbf{t}_{\gamma_j}) - \mathbf{x}_j] \right\}.$$

If the atoms are assumed to be Gaussian, write

$$\begin{aligned} \rho_j(\mathbf{X}) &= \frac{Z_j}{|\det \pi \mathbf{U}_j|^{1/2}} \\ &\times \exp\left(-\frac{1}{2} \mathbf{X}^T \mathbf{U}_j^{-1} \mathbf{X}\right) \text{ in Cartesian } \text{\AA} \text{ coordinates,} \end{aligned}$$

where Z_j is the total number of electrons, and where the matrix \mathbf{U}_j combines the Gaussian spread of the electrons in atom j at rest with the covariance matrix of the random positional fluctuations of atom j caused by thermal agitation.

In crystallographic coordinates:

$$\begin{aligned} \rho_j(\mathbf{x}) &= \frac{Z_j}{|\det \pi \mathbf{Q}_j|^{1/2}} \\ &\times \exp\left(-\frac{1}{2} \mathbf{x}^T \mathbf{Q}_j^{-1} \mathbf{x}\right) \text{ with } \mathbf{Q}_j = \mathbf{A}^{-1} \mathbf{U}_j (\mathbf{A}^{-1})^T. \end{aligned}$$

If atom k is in a special position \mathbf{x}_k , then the matrix \mathbf{Q}_k must satisfy the identity

$$\mathbf{R}_g \mathbf{Q}_k \mathbf{R}_g^{-1} = \mathbf{Q}_k$$