

4.4. SCATTERING FROM MESOMORPHIC STRUCTURES

layers; however, from the optical birefringence it is apparent that the site symmetry is not uniaxial. X-ray diffraction studies on single crystals by Doucet and co-workers demonstrated that the biaxiality was not attributable to molecular tilt and subsequent work by a number of others resulted in the arrangement shown in Fig. 4.4.4.7(a). The most important distinguishing reciprocal-space feature associated with the intralayer 'herringbone' packing is the appearance of Bragg peaks at $\sin(\theta)$ equal to $\sqrt{7}/2$ times the value for the lowest-order in-plane Bragg peak for the triangular lattice (Pindak *et al.*, 1981). These are illustrated by the open circles in Fig. 4.4.4.7(b). The shaded circles correspond to peaks that are missing because of the glide plane that relates the two molecules in the rectangular cell.

Leadbetter, Mazid & Malik (1980) carried out detailed studies on both the crystalline-E phase of isobutyl 4-(4-phenylbenzylidene-amino)cinnamate (IBPBAC) and the crystalline phase immediately below the crystalline-E phase. Partially ordered samples of the crystalline-E phase were obtained by melting the lower-temperature crystalline phase. Although the data for the crystalline-E phase left some ambiguity, they argued that the phase they were studying might well have had molecular tilts of the order of 5 or 6°. This is an important distinction, since the crystalline-H and crystalline-J phases are essentially tilted versions of the crystalline-E. Thus, one important symmetry difference that might distinguish the crystalline-E from the others is the presence of a mirror plane parallel to the layers. In view of the low symmetry of the individual molecules, the existence of such a mirror plane would imply residual molecular motions. In fact, using neutron diffraction Leadbetter *et al.* (1976) demonstrated for a different liquid crystal that, even though the site symmetry is not axially symmetric, there is considerable residual rotational motion in the crystalline-E phase about the long axis of the molecules. Since the in-plane spacing is too small for neighbouring molecules to be rotating independently of each other, they proposed what might be interpreted as large partially hindered rotations.

4.4.4.4.2. *Crystal-H, crystal-K*

The crystalline-H and crystalline-K phases are tilted versions of the crystalline-E. The crystalline-H is tilted in the direction between the near neighbours, with the convenient mnemonic that on cooling the sequence of phases with the same relative orientation of tilt to near-neighbour position is $F \rightarrow G \rightarrow H$. Similarly, the tilt direction for the crystalline-K phase is similar to that of the smectic-I and crystalline-J so that the expected phase sequence on cooling might be $I \rightarrow J \rightarrow K$. In fact, both of these sequences are only intended to indicate the progression in lower symmetry; the actual transitions vary from material to material.

4.4.5. Discotic phases

In contrast to the long thin rod-like molecules that formed most of the other phases discussed in this chapter, the discotic phases are formed by molecules that are more disc-like [see Fig. 4.4.1.3(f), for example]. There was evidence that mesomorphic phases were formed from disc-like molecules as far back as 1960 (Brooks & Taylor, 1968); however, the first identification of a discotic phase was by Chandrasekhar *et al.* (1977) with benzenehexyl hexa-*n*-alkanoate compounds. Disc-like molecules can form either a fluid nematic phase in which the disc normals are aligned, without any particular long-range order at the molecular centre of mass, or more-ordered 'columnar' (Helfrich, 1979) or 'discotic' (Billard *et al.*, 1981) phases in which the molecular positions are correlated such that the discs stack on top of one another to form columns. Some of the literature designates this nematic phase as N_D to distinguish it from the phase formed by 'rod-like' molecules

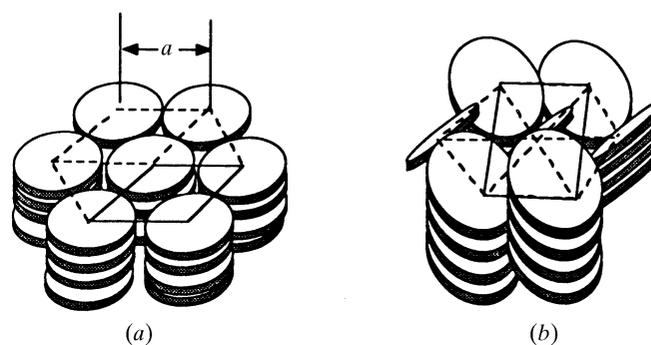


Fig. 4.4.5.1. Schematic illustration of the molecular stacking for the discotic (a) D_2 and (b) D_1 phases. In neither of these two phases is there any indication of long-range positional order along the columns. The hexagonal symmetry of the D_1 phase is broken by 'herringbone-like' correlations in the molecular tilt from column to column.

(Destrade *et al.*, 1983). In the same way that the appearance of layers characterizes order in smectic phases, the order for the discotic phases is characterized by the appearance of columns. Chandrasekhar (1982, 1983) and Destrade *et al.* (1983) have reviewed this area and have summarized the several notations for various phases that appear in the literature. Levelut (1983) has also written a review and presented a table listing the space groups for columnar phases formed by 18 different molecules. Unfortunately, it is not absolutely clear which of these are mesomorphic phases and which are crystals with true long-range positional order.

Fig. 4.4.5.1 illustrates the molecular packing in two of the well identified discotic phases that are designated as D_1 and D_2 (Chandrasekhar, 1982). The phase D_2 consists of a hexagonal array of columns for which there is no intracolumnar order. The system is uniaxial and, as originally proposed, the molecular normals were supposed to be along the column axis. However, recent X-ray scattering studies on oriented free-standing fibres of the D_2 phase of triphenylene hexa-*n*-dodecanoate indicate that the molecules are tilted with respect to the layer normal (Safinya *et al.*, 1985, 1984). The D_1 phase is definitely a tilted phase, and consequently the columns are packed in a rectangular cell. According to Safinya *et al.*, the D_1 to D_2 transition corresponds to an order-disorder transition in which the molecular tilt orientation is ordered about the column axis in the D_1 phase and disordered in the D_2 phase. The reciprocal-space structure of the D_1 phase is similar to that of the crystalline-E phase shown in Fig. 4.4.4.7(b).

Other discotic phases that have been proposed would have the molecules arranged periodically along the column, but disordered between columns. This does not seem physically realistic since it is well known that thermal fluctuations rule out the possibility of a one-dimensional periodic structure even more strongly than for the two-dimensional lattice that was discussed above (Landau, 1965; Peierls, 1934). On the other hand, in the absence of either more high-resolution studies on oriented fibres or further theoretical studies, we prefer not to speculate on the variety of possible true discotic or discotic-like crystalline phases that might exist. This is a subject for future research.

4.4.6. Other phases

We have deliberately chosen not to discuss the properties of the cholesteric phase in this chapter because the length scales that characterize the long-range order are of the order of micrometres and are more easily studied by optical scattering than by X-rays (De Gennes, 1974; De Vries, 1951). Nematic phases formed from chiral

molecules develop long-range order in which the orientation of the director $\langle \mathbf{n} \rangle$ varies in a plane-wave-like manner that can be described as $\mathbf{x} \cos(2\pi z/\lambda) + \mathbf{y} \sin(2\pi z/\lambda)$, where \mathbf{x} and \mathbf{y} are unit vectors and $\lambda/2$ is the cholesteric ‘pitch’ that can be anywhere from 0.1 to 10 μm depending on the particular molecule. Even more interesting is that for many cholesteric systems there is a small temperature range, of the order of 1 K, between the cholesteric and isotropic phases for which there is a phase known as the ‘blue phase’ (Coates & Gray, 1975; Stegemeyer & Bergmann, 1981; Meiboom *et al.*, 1981; Bensimon *et al.*, 1983; Hornreich & Shtrikman, 1983; Crooker, 1983). In fact, there is more than one ‘blue phase’ but they all have the property that the cholesteric twist forms a three-dimensional lattice twisted network rather than the plane-wave-like twist of the cholesteric phase. Three-dimensional Bragg scattering from blue phases using laser light indicates cubic lattices; however, since the optical cholesteric interactions are much stronger than the usual interactions between X-rays and atoms, interpretation of the results is subtler.

Gray and Goodby discuss a ‘smectic-D’ phase that is otherwise omitted from this chapter (Gray & Goodby, 1984). Gray and co-workers first observed this phase in the homologous series of 4'-*n*-alkoxy-3'-nitrobiphenyl-4-carboxylic acids (Gray *et al.*, 1957). In the hexadecyloxy compound, this phase exists for a region of about 26 K between the smectic-C and smectic-A phases: smectic-C (444.2 K) smectic-D (470.4 K) smectic-A. It is optically isotropic and X-ray studies by Diele *et al.* (1972) and by Tardieu & Billard (1976) indicate a number of similarities to the ‘cubic-isotropic’ phase observed in lyotropic systems (Luzzati & Riess-Husson, 1966; Tardieu & Luzzati, 1970). More recently, Etherington *et al.* (1986) studied the ‘smectic-D’ phase of 3'-cyano-4'-*n*-octadecyloxy-biphenyl-4-carboxylic acid. Since this material appears to be more stable than some of the others that were previously studied, they were able to perform sufficient measurements to determine that the space group is cubic $P23$ or $Pm3$ with a lattice parameter of 86 Å. Etherington *et al.* suggested that the ‘smectic-D’ phase that they studied is a true three-dimensional cubic crystal of micelles and noted that the designation of ‘smectic-D’ is not accurate. Guillon & Skoulios (1987) have proposed a molecular model for this and related phases.

Fontell (1974) has reviewed the literature on the X-ray diffraction studies of lyotropic mesomorphic systems and the reader is referred there for more extensive information on those cubic systems. The mesomorphic structures of lyotropic systems are much richer than those of the thermotropic and, in addition to all structures mentioned here, there are lyotropic systems in which the smectic-A lamellae seem to break up into cylindrical rods which seem to have the same macroscopic symmetry as some of the discotic phases. On the other hand, it is also much more difficult to prepare a review for the lyotropic systems in the same type of detail as for the thermotropic. The extra complexity associated with the need to control water concentration as well as temperature has made both theoretical and experimental progress more difficult, and, since there has not been very much experimental work on well oriented samples, detailed knowledge of many of these phases is also limited. Aside from the simpler lamellae systems, which seem to have the same symmetry as the thermotropic smectic-A phase, it is not at all clear which of the other phases are three-dimensional crystals and which are true mesomorphic structures. For example, dipalmitoylphosphatidylcholine has an L_β phase that appears for temperatures and (or) water content that is lower than that of the smectic-A L_α phase (Shipley *et al.*, 1974; Small, 1967; Chapman *et al.*, 1967). The diffraction pattern for this phase contains sharp large-angle reflections that may well correspond to a phase that is like one of the crystalline phases listed in Tables 4.4.1.1 and 4.4.1.2, and Fig. 4.4.1.1. On the other hand, this phase could also be hexatic and we do not have sufficient information to decide. The interested

reader is referred to the referenced articles for further detailed information.

4.4.7. Notes added in proof to first edition

4.4.7.1. Phases with intermediate molecular tilt: smectic-L, crystalline-M,N

Following the completion of this manuscript, Smith and co-workers [G. S. Smith, E. B. Sirota, C. R. Safinya & N. A. Clark (1988). *Phys. Rev. Lett.* **60**, 813–816; E. B. Sirota, G. S. Smith, C. R. Safinya, R. J. Plano & N. A. Clark (1988). *Science*, **242**, 1406–1409] published an X-ray scattering study of the structure of a freely suspended multilayer film of hydrated phosphatidylcholine in which the phase that had been designated L_B' in the literature on lipid phases [M. J. Janiak, D. M. Small & G. G. Shipley (1979). *J. Biol. Chem.* **254**, 6068–6078; V. Luzzati (1968). In *Biological Membranes: Physical Fact and Function*, Vol. 1, edited by D. Chapman, pp. 71–123; A. Tardieu, V. Luzzati & F. C. Reman (1973). *J. Mol. Biol.* **75**, 711–733] was shown to consist of three separate two-dimensional phases in which the positional order in adjacent layers is uncoupled. The three phases are distinguished by the direction of the alkane-chain tilt relative to the nearest neighbours, and in one of these phases the orientation varies continuously with increasing hydration. At the lowest hydration, they observe a phase in which the tilt is towards the second-nearest neighbour; in analogy to the smectic-F phase, they designate this phase $L_{\beta F}$. On increasing hydration, they observe a phase in which the tilt direction is intermediate between the nearest- and next-nearest-neighbour directions, and which varies continuously with hydration. This is a new phase that was not previously known and they designate it $L_{\beta L}$. On further hydration, they observe a phase in which the molecular tilt is towards a nearest neighbour and this is designated $L_{\beta I}$. At maximum hydration, they observe the phase with long-wavelength modulation that was previously designated P_β [M. J. Janiak, D. M. Small & G. G. Shipley (1979). *J. Biol. Chem.* **254**, 6068–6078]. J. V. Selinger & D. R. Nelson [*Phys. Rev. Lett.* (1988), **61**, 416–419] have subsequently developed a theory for the phase transitions between phases with varying tilt orientation and have rationalized the existence of phases with intermediate tilt. To be complete, both Fig. 4.4.1.1 and Table 4.4.1.1 should be amended to include this type of hexatic order which is now referred to as the smectic-L. Extension of the previous logic suggests that the crystalline phases with intermediate tilt should be designated M and N, where N has ‘herringbone’ type of intermolecular order.

4.4.7.2. Nematic to smectic-A phase transition

At the time this manuscript was prepared, there was a fundamental discrepancy between theoretical predictions for the details of the critical properties of the second-order nematic to smectic-A phase transition. This has been resolved. W. G. Bouwan & W. H. de Jeu [*Phys. Rev. Lett.* (1992), **68**, 800–803] reported an X-ray scattering study of the critical properties of octyloxy-phenylcyanobenzoyloxybenzoate in which the data were in good agreement with predictions of the three-dimensional xy model [T. C. Lubensky (1983). *J. Chim. Phys.* **80**, 31–43; J. C. Le Guillou & J. Zinn-Justin (1985). *J. Phys. Lett.* **52**, L-137–L-141]. The differences between this experiment and others that were discussed previously, and which did not agree with theory, are firstly that this material is much further from the tricritical point that appears to be ubiquitous for most liquid-crystalline materials and, secondly, that they used the Landau–De Gennes theory to argue that the critical temperature dependence for the Q_1^4 term in the differential cross section given in equation (4.4.2.7) is not that of the $c\xi_\perp^4$ term but