

1.5. CLASSIFICATION OF SPACE-GROUP REPRESENTATIONS

To each space group \mathcal{G} belongs an infinite set \mathcal{T} of translations, the *translation subgroup* of \mathcal{G} . The group \mathcal{T} forms an infinite Abelian invariant subgroup of \mathcal{G} . For each translation its translation vector is defined. The set of all translation vectors is called the *vector lattice* \mathbf{L} of \mathcal{G} . Because of the finite size of the atoms constituting the real crystal, the lengths of the translation vectors of the ideal crystal cannot be arbitrarily small; rather there is a lower limit $\delta > 0$ for their length in the range of a few Å.

When referred to a coordinate system $(O, \mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3)$, consisting of an origin O and a basis \mathbf{a}_k , the symmetry operations, *i.e.* the elements $\mathbf{g}: \mathbf{x} = \mathbf{W}\mathbf{x} + \mathbf{w}$ of the space group \mathcal{G} , are described by matrix–column pairs (\mathbf{W}, \mathbf{w}) with matrix part \mathbf{W} and column part \mathbf{w} . The translations of \mathcal{G} are represented by pairs $(\mathbf{I}, \mathbf{t}_i)$, where \mathbf{I} is the (3×3) unit matrix and \mathbf{t}_i is the column of coefficients of the translation vector $\mathbf{t}_i \in \mathbf{L}$. The basis can always be chosen such that all columns \mathbf{t}_i and no other columns of translations consist of integers. Such a basis $\mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3$ is called a *primitive basis*. For each vector lattice \mathbf{L} there exists an infinite number of primitive bases.

The space group \mathcal{G} can be decomposed into right cosets relative to \mathcal{T} :

$$\mathcal{G} = \mathcal{T} \cup \mathcal{T}(\mathbf{W}_2, \mathbf{w}_2) \cup \dots \cup \mathcal{T}(\mathbf{W}_i, \mathbf{w}_i) \cup \dots \cup \mathcal{T}(\mathbf{W}_n, \mathbf{w}_n). \quad (1.5.3.1)$$

The coset representatives form the finite set $\mathbf{V} = \{(\mathbf{W}_v, \mathbf{w}_v)\}$, $v = 1, \dots, n$, with $(\mathbf{W}_1, \mathbf{w}_1) = (\mathbf{I}, \mathbf{o})$, where \mathbf{I} is the unit matrix and \mathbf{o} is the column consisting of zeros only. The factor group \mathcal{G}/\mathcal{T} is isomorphic to the *point group* \mathcal{P} of \mathcal{G} (called $\overline{\mathcal{G}}$ in books on representation theory) describing the symmetry of the external shape of the macroscopic crystal and being represented by the matrices $\mathbf{W}_1, \mathbf{W}_2, \dots, \mathbf{W}_n$, $\mathcal{P} = \{\mathbf{W}_i\}$. If \mathbf{V} can be chosen such that all $\mathbf{w}_v = \mathbf{o}$, then \mathcal{G} is called a *symmorphic space group* \mathcal{G}_0 . A symmorphic space group can be recognized easily from its conventional Hermann–Mauguin symbol which does not contain any screw or glide component. In terms of group theory, a symmorphic space group is the semidirect product of \mathcal{T} and \mathcal{P} , *cf.* BC, p. 44. In symmorphic space groups \mathcal{G}_0 (and in no others) there are site-symmetry groups which are isomorphic to the point group \mathcal{P} of \mathcal{G}_0 . (For the term ‘site-symmetry group’, see Section 1.5.4.2.)

Space groups can be classified into 219 (*affine*) *space-group types* either by isomorphism or by affine equivalence; the 230 *crystallographic* space-group types are obtained by restricting the transformations available for affine equivalence to those with positive determinant, *cf.* IT A, Section 8.2.1. Many important properties of space groups are shared by all space groups of a type. In such a case one speaks of *properties of the type*. For example, if a space group is symmorphic, then all space groups of its type are symmorphic, so that one normally speaks of a symmorphic space-group type.

With the concept of symmorphic space groups one can also define the arithmetic crystal classes: Let \mathcal{G}_0 be a symmorphic space group referred to a primitive basis and $\mathbf{V} = \{(\mathbf{W}_v, \mathbf{w}_v)\}$ its set of coset representatives with $\mathbf{w}_v = \mathbf{o}$ for all columns. To \mathcal{G}_0 all those space groups \mathcal{G} can be assigned for which a primitive basis can be found such that the matrix parts \mathbf{W}_v of their sets \mathbf{V} are the same as those of \mathcal{G}_0 , only the columns \mathbf{w}_v may differ. In this way, to a type of symmorphic space groups \mathcal{G}_0 , other types of space groups are assigned, *i.e.* the space-group types are classified according to the symmorphic space-group types. These classes are called *arithmetic crystal classes* of space groups or of space-group types.

There are 73 arithmetic crystal classes corresponding to the 73 types of symmorphic space groups; between 1 and 16 space-group types belong to an arithmetic crystal class. A matrix-algebraic definition of arithmetic crystal classes and a proposal for their nomenclature can be found in IT A, Section 8.2.3; see also Section 8.3.4 and Table 8.3.4.1.

 1.5.3.3. Representations of the translation group \mathcal{T} and the reciprocal lattice

For representation theory we follow the terminology of BC and CDML.

Let \mathcal{G} be referred to a primitive basis. For the following, the infinite set of translations, based on discrete cyclic groups of infinite order, will be replaced by a (very large) finite set in the usual way, assuming the Born–von Karman boundary conditions

$$(\mathbf{I}, \mathbf{t}_{bi})^{N_i} = (\mathbf{I}, N_i) = (\mathbf{I}, \mathbf{o}) \quad (1.5.3.2)$$

to hold, where $\mathbf{t}_{bi} = (1, 0, 0)$, $(0, 1, 0)$ or $(0, 0, 1)$ and N_i is a large integer for $i = 1, 2$ or 3 , respectively. Then for any lattice translation (\mathbf{I}, \mathbf{t}) ,

$$(\mathbf{I}, N\mathbf{t}) = (\mathbf{I}, \mathbf{o}) \quad (1.5.3.3)$$

holds, where $N\mathbf{t}$ is the column (N_1t_1, N_2t_2, N_3t_3) . If the (infinitely many) translations mapped in this way onto (\mathbf{I}, \mathbf{o}) form a normal subgroup \mathcal{T}_1 of \mathcal{G} , then the mapping described by (1.5.3.3) is a homomorphism. There exists a factor group $\mathcal{G}' = \mathcal{G}/\mathcal{T}_1$ of \mathcal{G} relative to \mathcal{T}_1 with translation subgroup $\mathcal{T}' = \mathcal{T}/\mathcal{T}_1$ which is finite and is sometimes called the *finite space group*.

Only the irreps of these finite space groups will be considered. The definitions of space-group type, symmorphic space group *etc.* can be transferred to these groups. Because \mathcal{T} is Abelian, \mathcal{T}' is also Abelian. Replacing the space group \mathcal{G} by \mathcal{G}' means that the especially well developed theory of representations of finite groups can be applied, *cf.* Lomont (1959), Jansen & Boon (1967). For convenience, the prime ' will be omitted and the symbol \mathcal{G} will be used instead of \mathcal{G}' ; \mathcal{T}' will be denoted by \mathcal{T} in the following.

Because \mathcal{T} (formerly \mathcal{T}') is Abelian, its irreps $\Gamma(\mathcal{T})$ are one-dimensional and consist of (complex) roots of unity. Owing to equations (1.5.3.2) and (1.5.3.3), the irreps $\Gamma^{q_1q_2q_3}[(\mathbf{I}, \mathbf{t})]$ of \mathcal{T} have the form

$$\Gamma^{q_1q_2q_3}[(\mathbf{I}, \mathbf{t})] = \exp\left[-2\pi i\left(q_1\frac{t_1}{N_1} + q_2\frac{t_2}{N_2} + q_3\frac{t_3}{N_3}\right)\right], \quad (1.5.3.4)$$

where \mathbf{t} is the column (t_1, t_2, t_3) , $q_j = 0, 1, 2, \dots, N_j - 1$, $j = 1, 2, 3$, and t_k and q_j are integers.

Given a primitive basis $\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3$ of \mathbf{L} , mathematicians and crystallographers define the *basis of the dual or reciprocal lattice* \mathbf{L}^* by

$$\mathbf{a}_i \cdot \mathbf{a}_j^* = \delta_{ij}, \quad (1.5.3.5)$$

where $\mathbf{a} \cdot \mathbf{a}^*$ is the scalar product between the vectors and δ_{ij} is the unit matrix (see *e.g.* Chapter 1.1, Section 1.1.3). Texts on the physics of solids redefine the basis $\mathbf{a}_1^*, \mathbf{a}_2^*, \mathbf{a}_3^*$ of the *reciprocal lattice* \mathbf{L}^* , lengthening each of the basis vectors \mathbf{a}_j^* by the factor 2π . Therefore, in the physicist's convention the relation between the bases of direct and reciprocal lattice reads (*cf.* BC, p. 86):

$$\mathbf{a}_i \cdot \mathbf{a}_j^* = 2\pi\delta_{ij}. \quad (1.5.3.6)$$

In the present chapter only the physicist's basis of the reciprocal lattice is employed, and hence the use of \mathbf{a}_j^* should not lead to misunderstandings. The set of all vectors \mathbf{K} ,²

$$\mathbf{K} = k_1\mathbf{a}_1^* + k_2\mathbf{a}_2^* + k_3\mathbf{a}_3^*, \quad (1.5.3.7)$$

k_i integer, is called the lattice reciprocal to \mathbf{L} or the *reciprocal lattice* \mathbf{L}^* .³

If one adopts the notation of IT A, Part 5, the basis of direct space is denoted by a row $(\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3)^T$, where $()^T$ means trans-

² See Note (1) in Section 1.5.1.

³ The lattice \mathbf{L} is often called the *direct lattice*. These names are historically introduced and cannot be changed, although equations (1.5.3.5) and (1.5.3.6) show that essentially neither of the lattices is preferred: they form a pair of *mutually reciprocal* lattices.

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posed. For reciprocal space, the basis is described by a column $(\mathbf{a}_1^*, \mathbf{a}_2^*, \mathbf{a}_3^*)$.

To each lattice generated from a basis $(\mathbf{a}_i)^T$ a reciprocal lattice is generated from the basis (\mathbf{a}_j^*) . Both lattices, \mathbf{L} and \mathbf{L}^* , can be compared most easily by referring the direct lattice \mathbf{L} to its *conventional* basis $(\mathbf{a}_i)^T$ as defined in Chapters 2.1 and 9.1 of *IT A*. In this case, the lattice \mathbf{L} may be primitive or centred. If $(\mathbf{a}_i)^T$ forms a primitive basis of \mathbf{L} , *i.e.* if \mathbf{L} is primitive, then the basis (\mathbf{a}_j^*) forms a primitive basis of \mathbf{L}^* . If \mathbf{L} is centred, *i.e.* $(\mathbf{a}_i)^T$ is not a primitive basis of \mathbf{L} , then there exists a centring matrix \mathbf{P} , $0 < \det(\mathbf{P}) < 1$, by which three linearly independent vectors of \mathbf{L} with rational coefficients are generated from those with integer coefficients, *cf.* *IT A*, Table 5.1.3.1.

Moreover, \mathbf{P} can be chosen such that the set of vectors

$$(\mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3)^T = (\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3)^T \mathbf{P} \quad (1.5.3.8)$$

forms a primitive basis of \mathbf{L} . Then the basis vectors $(\mathbf{p}_1^*, \mathbf{p}_2^*, \mathbf{p}_3^*)^T$ of the lattice reciprocal to the lattice generated by $(\mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3)^T$ are determined by

$$(\mathbf{p}_1^*, \mathbf{p}_2^*, \mathbf{p}_3^*) = \mathbf{P}^{-1}(\mathbf{a}_1^*, \mathbf{a}_2^*, \mathbf{a}_3^*) \quad (1.5.3.9)$$

and form a primitive basis of \mathbf{L}^* .

Because $\det(\mathbf{P}^{-1}) > 1$, not all vectors \mathbf{K} of the form (1.5.3.7) belong to \mathbf{L}^* . If k_1, k_2, k_3 are the (integer) coefficients of these vectors \mathbf{K} referred to $(\mathbf{a}_j^*)^T$ and $k_{p1}\mathbf{p}_1^* + k_{p2}\mathbf{p}_2^* + k_{p3}\mathbf{p}_3^*$ are the vectors of \mathbf{L}^* , then $\mathbf{K} = (k_j)^T (\mathbf{a}_j^*) = (k_j)^T \mathbf{P}(\mathbf{p}_i^*) = (k_{pi})^T (\mathbf{p}_i^*)$ is a vector of \mathbf{L}^* if and only if the coefficients

$$(k_{p1}, k_{p2}, k_{p3})^T = (k_1, k_2, k_3)^T \mathbf{P} \quad (1.5.3.10)$$

are integers. In other words, $(k_1, k_2, k_3)^T$ has to fulfil the equation

$$(k_1, k_2, k_3)^T = (k_{p1}, k_{p2}, k_{p3})^T \mathbf{P}^{-1}. \quad (1.5.3.11)$$

As is well known, the Bravais type of the reciprocal lattice \mathbf{L}^* is not necessarily the same as that of its direct lattice \mathbf{L} . If \mathbf{W} is the matrix of a (point-) symmetry operation of the direct lattice, referred to its basis $(\mathbf{a}_i)^T$, then \mathbf{W}^{-1} is the matrix of the same symmetry operation of the reciprocal lattice but referred to the dual basis $(\mathbf{a}_i^*)^T$. This does not affect the symmetry because in a (symmetry) group the inverse of each element in the group also belongs to the group. Therefore, the (point) symmetries of a lattice and its reciprocal lattice are always the same. However, there may be differences in the matrix descriptions due to the different orientations of \mathbf{L} and \mathbf{L}^* relative to the symmetry elements of $\bar{\mathcal{G}}$ and due to the reference to the different bases $(\mathbf{a}_i)^T$ and $(\mathbf{a}_i^*)^T$. For example, if \mathbf{L} has the point symmetry (Hermann–Mauguin symbol) $\bar{3}m1$, then the symbol for the point symmetry of \mathbf{L}^* is $\bar{3}1m$ and *vice versa*.

1.5.3.4. Irreducible representations of space groups and the reciprocal-space group

Let $(\mathbf{a}_i)^T$ be a conventional basis of the lattice \mathbf{L} of the space group \mathcal{G} . From (1.5.3.6), $k_i = q_i/N_i$ and $\mathbf{k} = \sum_{k=1}^3 k_i \mathbf{a}_i^*$, equation (1.5.3.4) can be written

$$\Gamma^{q_1 q_2 q_3}[(\mathbf{I}, \mathbf{t})] = \Gamma^{\mathbf{k}}[(\mathbf{I}, \mathbf{t})] = \exp[-i\mathbf{k} \cdot \mathbf{t}]. \quad (1.5.3.12)$$

Equation (1.5.3.12) has the same form if a primitive basis $(\mathbf{p}_i)^T$ of \mathbf{L} has been chosen. In this case, the vector \mathbf{k} is given by $\mathbf{k} = \sum_{i=1}^3 k_{pi} \mathbf{p}_i^*$ with rational coefficients k_{pi} .

Let a primitive basis $(\mathbf{p}_i)^T$ be chosen for the lattice \mathbf{L} . The set of all vectors \mathbf{k} (known as wavevectors) forms a discontinuous array. Consider two wavevectors \mathbf{k} and $\mathbf{k}' = \mathbf{k} + \mathbf{K}$, where \mathbf{K} is a vector of the reciprocal lattice \mathbf{L}^* . Obviously, \mathbf{k} and \mathbf{k}' describe the same irrep of \mathcal{T} . Therefore, to determine all irreps of \mathcal{T} it is necessary to consider only the wavevectors of a small region of the reci-

procal space, where the translation of this region by all vectors of \mathbf{L}^* fills the reciprocal space without gap or overlap. Such a region is called a *fundamental region* of \mathbf{L}^* . (The nomenclature in literature is not quite uniform. We follow here widely adopted definitions.)

The fundamental region of \mathbf{L}^* is not uniquely determined. Two types of fundamental regions are of interest in this chapter:

(1) The *first Brillouin zone* is that range of \mathbf{k} space around \mathbf{o} for which $|\mathbf{k}| \leq |\mathbf{K} - \mathbf{k}|$ holds for any vector $\mathbf{K} \in \mathbf{L}^*$ (*Wigner–Seitz cell* or *domain of influence* in \mathbf{k} space). Visually, it is the region of endpoints of the \mathbf{k} vectors that are nearer to the origin than to any other point of the reciprocal lattice. The Brillouin zone is a centrosymmetric body bounded by centrosymmetric planes which bisect perpendicularly the connecting lines from the origin to the neighbouring reciprocal-lattice points. The Brillouin zone is used in books and articles on irreps of space groups.

(2) The *crystallographic unit cell in reciprocal space*, for short *unit cell*, is the set of all \mathbf{k} vectors with $0 \leq k_i < 1$. It corresponds to the unit cell used in crystallography for the description of crystal structures in direct space.

Let \mathbf{k} be some vector according to (1.5.3.12) and \mathbf{W} be the matrices of $\bar{\mathcal{G}}$. The following definitions are useful:

Definition. The set of all vectors \mathbf{k}' fulfilling the condition

$$\mathbf{k}' = \mathbf{k}\mathbf{W} + \mathbf{K}, \quad \mathbf{W} \in \bar{\mathcal{G}}, \quad \mathbf{K} \in \mathbf{L}^* \quad (1.5.3.13)$$

is called *the orbit* of \mathbf{k} .

Definition. The set of all matrices $\mathbf{W} \in \bar{\mathcal{G}}$ for which

$$\mathbf{k} = \mathbf{k}\mathbf{W} + \mathbf{K}, \quad \mathbf{K} \in \mathbf{L}^* \quad (1.5.3.14)$$

forms a group which is called the *little co-group* $\bar{\mathcal{G}}^{\mathbf{k}}$ of \mathbf{k} . The vector \mathbf{k} is called *general* if $\bar{\mathcal{G}}^{\mathbf{k}} = \{\mathbf{I}\}$; otherwise $\bar{\mathcal{G}}^{\mathbf{k}} > \{\mathbf{I}\}$ and \mathbf{k} is called *special*.

In words: The \mathbf{k} vector is called *general* if its little co-group $\bar{\mathcal{G}}^{\mathbf{k}}$ is the identity of $\bar{\mathcal{G}}$. Otherwise, $\bar{\mathcal{G}}^{\mathbf{k}}$ is a non-trivial subgroup of the point group $\bar{\mathcal{G}}$ of $\bar{\mathcal{G}}$, $\bar{\mathcal{G}} > \bar{\mathcal{G}}^{\mathbf{k}}$, and \mathbf{k} is called a *special vector* of the reciprocal space.

Equation (1.5.3.14) for \mathbf{k} resembles the equation

$$\mathbf{x} = \mathbf{W}\mathbf{x} + \mathbf{t}, \quad \mathbf{t} \in \mathbf{L} \quad (1.5.3.15)$$

by which the fixed points of the symmetry operation (\mathbf{W}, \mathbf{t}) of a symmorphic space group \mathcal{G}_0 are determined. Indeed, the orbits of \mathbf{k} defined by (1.5.3.13) correspond to the point orbits of \mathcal{G}_0 , the little co-group $\bar{\mathcal{G}}^{\mathbf{k}}$ of \mathbf{k} corresponds to the site-symmetry group of that point \mathbf{X} whose coordinates (x_i) have the same values as the vector coefficients $(k_i)^T$ of \mathbf{k} .

Consider the coset decomposition of $\bar{\mathcal{G}}$ relative to $\bar{\mathcal{G}}^{\mathbf{k}}$.

Definition. If $\{\mathbf{W}_m\}$ is a set of coset representatives of $\bar{\mathcal{G}}$ relative to $\bar{\mathcal{G}}^{\mathbf{k}}$, then the set $\{\mathbf{k}\mathbf{W}_m\}$ is called the *star* of \mathbf{k} and the vectors $\mathbf{k}\mathbf{W}_m$ are called the *arms of the star*.

The number of arms of the star of \mathbf{k} is equal to the order $|\bar{\mathcal{G}}|$ of the point group $\bar{\mathcal{G}}$ divided by the order $|\bar{\mathcal{G}}^{\mathbf{k}}|$ of the symmetry group $\bar{\mathcal{G}}^{\mathbf{k}}$ of \mathbf{k} . If \mathbf{k} is general, then there are $|\bar{\mathcal{G}}|$ vectors from the orbit of \mathbf{k} in each fundamental region and $|\bar{\mathcal{G}}|$ arms of the star. If \mathbf{k} is special with little co-group $\bar{\mathcal{G}}^{\mathbf{k}}$, then the number of arms of the star of \mathbf{k} and the number of \mathbf{k} vectors in the fundamental region from the orbit of \mathbf{k} is $|\bar{\mathcal{G}}|/|\bar{\mathcal{G}}^{\mathbf{k}}|$.

Definition. The group of all elements $(\mathbf{W}, \mathbf{w}) \in \bar{\mathcal{G}}$ for which $\mathbf{W} \in \bar{\mathcal{G}}^{\mathbf{k}}$ is called the *little group* $\mathcal{L}^{\mathbf{k}}$ of \mathbf{k} .

The analogue of the little group $\mathcal{L}^{\mathbf{k}}$ is rarely considered in crystallography.

All symmetry operations of \mathcal{G}_0 may be obtained as combinations of an operation that leaves the origin fixed with a transla-