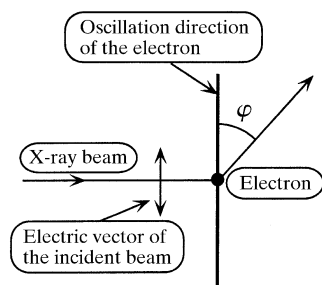
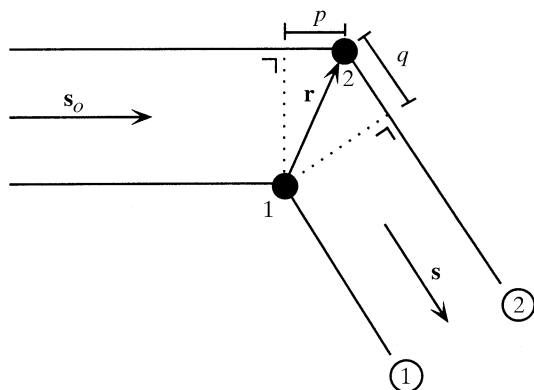


## 2.1. INTRODUCTION TO BASIC CRYSTALLOGRAPHY


**Figure 2.1.4.1**

The electric vector of a monochromatic and polarized X-ray beam is in the plane. It hits an electron, which starts to oscillate in the same direction as the electric vector of the beam. The oscillating electron acts as a source of X-rays. The scattered intensity depends on the angle  $\varphi$  between the oscillation direction of the electron and the scattering direction [equation (2.1.4.1)]. Reproduced with permission from Drenth (1999). Copyright (1999) Springer-Verlag.


**Figure 2.1.4.2**

The black dots are electrons. The origin of the system is at electron 1; electron 2 is at position  $\mathbf{r}$ . The electrons are irradiated by an X-ray beam from the direction indicated by vector  $\mathbf{s}_o$ . The radiation scattered by the electrons is observed in the direction of vector  $\mathbf{s}$ . Because of the path difference  $p + q$ , scattered beam 2 will lag behind scattered beam 1 in phase. Reproduced with permission from Drenth (1999). Copyright (1999) Springer-Verlag.

radiation, and this is the radiation responsible for the interference effects in diffraction. It was shown by Thomson that if the electron is completely free the following hold:

- (1) The phase difference between the incident and the scattered beam is  $\pi$ , because the scattered radiation is proportional to the displacement of the electron, which differs by  $\pi$  in phase with its acceleration imposed by the electric vector.
- (2) The amplitude of the electric component of the scattered wave at a distance  $r$  which is large in comparison with the wavelength of the radiation is

$$E_{\text{el}} = E_o \frac{1}{r} \frac{e^2}{mc^2} \sin \varphi,$$

where  $E_o$  is the amplitude of the electric vector of the incident beam,  $e$  is the electron charge,  $m$  is its mass,  $c$  is the speed of light and  $\varphi$  is the angle between the oscillation direction of the electron and the scattering direction (Fig. 2.1.4.1). Note that  $E_o \sin \varphi$  is the component of  $E_o$  perpendicular to the scattering direction.

In terms of energy,

$$I_{\text{el}} = I_o \frac{1}{r^2} \left( \frac{e^2}{mc^2} \right)^2 \sin^2 \varphi. \quad (2.1.4.1a)$$

The scattered energy per unit solid angle is

$$I_{\text{el}}(\Omega = 1) = I_{\text{el}} r^2. \quad (2.1.4.1b)$$

It was shown by Klein & Nishina (1929) [see also Heitler (1966)] that the scattering by an electron can be discussed in terms of the classical Thomson scattering if the quantum energy  $h\nu \ll mc^2$ . This is not true for very short X-ray wavelengths. For  $\lambda = 0.0243 \text{ \AA}$ ,  $h\nu$  and  $mc^2$  are exactly equal, but for  $\lambda = 1.0 \text{ \AA}$ ,  $h\nu$  is 0.0243 times  $mc^2$ . Since wavelengths in macromolecular crystallography are usually in the range 0.8–2.5  $\text{\AA}$ , the classical approximation is allowed. It should be noted that:

- (1) The intensity scattered by a free electron is independent of the wavelength.
- (2) Thomson's equation can also be applied to other charged particles, e.g. a proton. Because the mass of a proton is 1800 times the electron mass, scattering by protons and by atomic nuclei can be neglected.
- (3) Equation (2.1.4.1a) gives the scattering for a polarized beam. For an unpolarized beam,  $\sin^2 \varphi$  is replaced by a suitable polarization factor.

## 2.1.4.2. Scattering by a system of two electrons

This can be derived along classical lines by calculating the phase difference between the X-ray beams scattered by each of the two electrons. A derivation based on quantum mechanics leads exactly to the same result by calculating the transition probability for the scattering of a primary quantum  $(h\nu)_o$ , given a secondary quantum  $h\nu$  (Heitler, 1966, p. 193). For simplification we shall give only the classical derivation here. In Fig. 2.1.4.2, a system of two electrons is drawn with the origin at electron 1 and electron 2 at position  $\mathbf{r}$ . They scatter the incident beam in a direction given by the vector  $\mathbf{s}$ . The direction of the incident beam is along the vector  $\mathbf{s}_o$ . The length of the vectors can be chosen arbitrarily, but for convenience they are given a length  $1/\lambda$ . The two electrons scatter completely independently of each other.

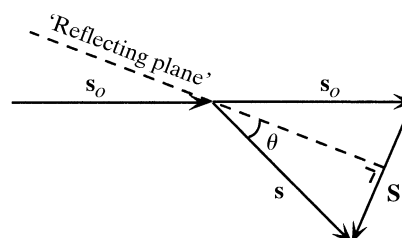
Therefore, the amplitudes of the scattered beams 1 and 2 are equal, but they have a phase difference resulting from the path difference between the beam passing through electron 2 and the beam passing through electron 1. The path difference is  $p + q = \lambda[\mathbf{r} \cdot (\mathbf{s}_o - \mathbf{s})]$ . Beam 2 lags behind in phase compared with beam 1, and with respect to wave 1 its phase angle is

$$-2\pi\lambda[\mathbf{r} \cdot (\mathbf{s}_o - \mathbf{s})]/\lambda = 2\pi\mathbf{r} \cdot \mathbf{S}, \quad (2.1.4.2)$$

where  $\mathbf{S} = \mathbf{s} - \mathbf{s}_o$ .

From Fig. 2.1.4.3, it is clear that the direction of  $\mathbf{S}$  is perpendicular to an imaginary plane reflecting the incident beam at an angle  $\theta$  and that the length of  $\mathbf{S}$  is given by

$$|\mathbf{S}| = 2 \sin \theta / \lambda. \quad (2.1.4.3)$$


**Figure 2.1.4.3**

The direction of the incident wave is indicated by  $\mathbf{s}_o$  and that of the scattered wave by  $\mathbf{s}$ . Both vectors are of length  $1/\lambda$ . A plane that makes equal angles with  $\mathbf{s}$  and  $\mathbf{s}_o$  can be regarded as a mirror reflecting the incident beam. Reproduced with permission from Drenth (1999). Copyright (1999) Springer-Verlag.