

3. CIF DATA DEFINITION AND CLASSIFICATION

Example 3.6.6.13. *The data set used in the refinement of an HIV-1 protease structure (PDB 5HVP) described using data items in the REFLNS and REFLNS_SHELL categories.*

```

_reflns.entry_id          '5HVP'
_reflns.data_reduction_method
; Xengen program scalei. Anomalous pairs were merged.
  Scaling proceeded in several passes, beginning with
  1-parameter fit and ending with 3-parameter fit.
;
_reflns.data_reduction_details
; Merging and scaling based on only those reflections
  with I > sigma(I).
;

_reflns.d_resolution_high      2.00
_reflns.d_resolution_low      8.00

_reflns.limit_h_max           22
_reflns.limit_h_min           0
_reflns.limit_k_max           46
_reflns.limit_k_min           0
_reflns.limit_l_max           57
_reflns.limit_l_min           0

_reflns.number_obs            7228
_reflns.observed_criterion_sigma_I 1.0
_reflns.details               none

loop_
_reflns_shell.d_res_high
_reflns_shell.d_res_low
_reflns_shell.meanI_over_sigI_obs
_reflns_shell.number_measured_obs
_reflns_shell.number_unique_obs
_reflns_shell.percent_possible_obs
_reflns_shell.Rmerge_F_obs
  31.38  3.82  69.8  9024  2540  96.8  1.98
  3.82  3.03  26.1  7413  2364  95.1  3.85
  3.03  2.65  10.5  5640  2123  86.2  6.37
  2.65  2.41  6.4  4322  1882  76.8  8.01
  2.41  2.23  4.3  3247  1714  70.4  9.86
  2.23  2.10  3.1  1140  812  33.3  13.99

```

a reflection as being observed are given using the data item `_reflns.observed_criterion`. This is a free-text field so is not automatically parsable. Therefore it is supplemented in the mmCIF dictionary by data items that can be used to stipulate the criterion in terms of the values of F , I or the uncertainties in these quantities (Example 3.6.6.13). The percentage of the total number of reflections that meet the criterion can be recorded.

Data items are also provided for describing the selection of the reflections used to calculate the free R factor, and for giving the R_{merge} values for all reflections and for the subset of 'observed' reflections. Data items in the `REFLNS_SCALE` and `REFLNS_SHELL` categories are used in the same way in the mmCIF and core CIF dictionaries, and Section 3.2.3.2.2 can be consulted for details.

As with the related categories `DIFFRN_REFLNS_CLASS` and `REFINE_LS_CLASS`, the core dictionary category `REFLNS_CLASS` was introduced after the release of the first version of the mmCIF dictionary. It provides a more general way of describing the treatment of particular subsets of the observations, but it is not expected to be used in macromolecular structural studies, where partition by shells of resolution is traditional.

3.6.7. Atomicity, chemistry and structure

The basic concepts of the mmCIF model for describing a macromolecular structure were outlined in Section 3.6.3. The present section describes the components of the model in more detail. The category groups used to describe the molecular chemistry

and structure are: the `ATOM` group describing atom positions (Section 3.6.7.1); the `CHEMICAL`, `CHEM_COMP` and `CHEM_LINK` groups describing molecular chemistry (Section 3.6.7.2); the `ENTITY` group describing distinct chemical species (Section 3.6.7.3); the `GEOM` group describing molecular or packing geometry (Section 3.6.7.4); the `STRUCT` group describing the large-scale features of molecular structure (Section 3.6.7.5); and the `SYMMETRY` group describing the symmetry and space group (Section 3.6.7.6).

The `CHEMICAL` category group itself is not generally used in an mmCIF. The purpose of this category group in the core CIF dictionary is to specify the chemical identity and connectivity of the relatively simple molecular or ionic species in a small-molecule or inorganic crystal. In principle, a macromolecular structure determined to atomic resolution could be represented as a coherent chemical entity with a complete connectivity graph. However, in practice, biological macromolecules are built from units from a library of models of standard amino acids, nucleotides and sugars. Data items in the `CHEM_COMP` and `CHEM_LINK` category groups of the mmCIF dictionary describe the internal connectivity and standard bonding processes between these units.

Molecular or packing geometry is also rarely tabulated for large macromolecular complexes, so the `GEOM` category group is rarely used in an mmCIF.

3.6.7.1. Atom sites

The categories describing atom sites are as follows:

`ATOM` group

Individual atom sites (§3.6.7.1.1)

`ATOM_SITE`

`ATOM_SITE_ANISOTROP`

Collections of atom sites (§3.6.7.1.2)

`ATOM_SITES`

`ATOM_SITES_FOOTNOTE`

Atom types (§3.6.7.1.3)

`ATOM_TYPE`

Alternative conformations (§3.6.7.1.4)

`ATOM_SITES_ALT`

`ATOM_SITES_ALT_ENS`

`ATOM_SITES_ALT_GEN`

The `ATOM` category group represents a compromise between the representation of a small-molecule structure as an annotated list of atomic coordinates and the need in macromolecular crystallography to present a more structured view organized around residues, chains, sheets, turns, helices *etc.* The locations of individual atoms and other information about the atom sites are given using data items in this category group. The categories within the group may be classified as shown in the summary above.

The `ATOM_SITE`, `ATOM_SITES` and `ATOM_TYPE` categories have many data items that are aliases of equivalent data items in the same categories in the core CIF dictionary, but the conventions for the labelling of the atom sites are different.

The `ATOM_SITE_ANISOTROP` and `ATOM_SITES_FOOTNOTE` categories are new to the mmCIF dictionary, as are the categories related to alternative conformations: `ATOM_SITES_ALT`, `ATOM_SITES_ALT_ENS` and `ATOM_SITES_ALT_GEN`.

3.6.7.1.1. Individual atom sites

The data items in these categories are as follows:

(a) `ATOM_SITE`

• `_atom_site.id` (\sim `_atom_site_label`)

`_atom_site.adp_type`

+ `_atom_site.aniso_B[1][1]`

\Rightarrow `_atom_site_anisotrop.B[1][1]`

3.6. CLASSIFICATION AND USE OF MACROMOLECULAR DATA

```

+ _atom_site.aniso_B[1][2]
  ⇒ _atom_site_anisotrop.B[1][2]
+ _atom_site.aniso_B[1][3]
  ⇒ _atom_site_anisotrop.B[1][3]
+ _atom_site.aniso_B[2][2]
  ⇒ _atom_site_anisotrop.B[2][2]
+ _atom_site.aniso_B[2][3]
  ⇒ _atom_site_anisotrop.B[2][3]
+ _atom_site.aniso_B[3][3]
  ⇒ _atom_site_anisotrop.B[3][3]
_atom_site.aniso_ratio
  ⇒ _atom_site_anisotrop.ratio
+ _atom_site.aniso_U[1][1]
  ⇒ _atom_site_anisotrop.U[1][1]
+ _atom_site.aniso_U[1][2]
  ⇒ _atom_site_anisotrop.U[1][2]
+ _atom_site.aniso_U[1][3]
  ⇒ _atom_site_anisotrop.U[1][3]
+ _atom_site.aniso_U[2][2]
  ⇒ _atom_site_anisotrop.U[2][2]
+ _atom_site.aniso_U[2][3]
  ⇒ _atom_site_anisotrop.U[2][3]
+ _atom_site.aniso_U[3][3]
  ⇒ _atom_site_anisotrop.U[3][3]
_atom_site.attached_hydrogens
_atom_site.auth_asym_id
_atom_site.auth_atom_id
_atom_site.auth_comp_id
_atom_site.auth_seq_id
+ _atom_site.B_equiv_geom_mean
+ _atom_site.B_iso_or_equiv
_atom_site.calc_attached_atom
_atom_site.calc_flag
+ _atom_site.Cartn_x
+ _atom_site.Cartn_y
+ _atom_site.Cartn_z
_atom_site.chemical_conn_number
  → _chemical_conn_atom.number
_atom_site.constraints
_atom_site.details (~ _atom_site_description)
_atom_site.disorder_assembly
_atom_site.disorder_group
_atom_site.footnote_id
+ _atom_site.fract_x
+ _atom_site.fract_y
+ _atom_site.fract_z
_atom_site.group_PDB
_atom_site.label_alt_id
  → _atom_sites_alt.id
_atom_site.label_asym_id
  → _struct_asym.id
_atom_site.label_atom_id
  → _chem_comp_atom.atom_id
_atom_site.label_comp_id
  → _chem_comp.id
_atom_site.label_entity_id
  → _entity.id
_atom_site.label_seq_id
  → _entity_poly_seq.num
+ _atom_site.occupancy
_atom_site.refinement_flags
_atom_site.refinement_flags_adp
_atom_site.refinement_flags_occupancy
_atom_site.refinement_flags_posn
_atom_site.restraints
_atom_site.symmetry_multiplicity
_atom_site.thermal_displace_type
_atom_site.type_symbol
  → _atom_type.symbol
+ _atom_site.U_equiv_geom_mean
+ _atom_site.U_iso_or_equiv
_atom_site.Wyckoff_symbol

```

(b) ATOM_SITE_ANISOTROP

```

● _atom_site_anisotrop.id
+ _atom_site_anisotrop.B[1][1] (~ _atom_site_aniso_B_11)
+ _atom_site_anisotrop.B[1][2] (~ _atom_site_aniso_B_12)
+ _atom_site_anisotrop.B[1][3] (~ _atom_site_aniso_B_13)
+ _atom_site_anisotrop.B[2][2] (~ _atom_site_aniso_B_22)
+ _atom_site_anisotrop.B[2][3] (~ _atom_site_aniso_B_23)
+ _atom_site_anisotrop.B[3][3] (~ _atom_site_aniso_B_33)
_atom_site_anisotrop.ratio (~ _atom_site_aniso_ratio)
  → _atom_site.id

```

```

_atom_site_anisotrop.type_symbol
  (~ _atom_site_aniso_type_symbol)
  → _atom_type.symbol
+ _atom_site_anisotrop.U[1][1] (~ _atom_site_aniso_U_11)
+ _atom_site_anisotrop.U[1][2] (~ _atom_site_aniso_U_12)
+ _atom_site_anisotrop.U[1][3] (~ _atom_site_aniso_U_13)
+ _atom_site_anisotrop.U[2][2] (~ _atom_site_aniso_U_22)
+ _atom_site_anisotrop.U[2][3] (~ _atom_site_aniso_U_23)
+ _atom_site_anisotrop.U[3][3] (~ _atom_site_aniso_U_33)

```

The bullet (●) indicates a category key. The arrow (→) is a reference to a parent data item. Items in italics have aliases in the core CIF dictionary formed by changing the full stop (.) to an underscore (_) except where indicated by the ~ symbol. Data items marked with a plus (+) have companion data names for the standard uncertainty in the reported value, formed by appending the string *_esd* to the data name listed. The double arrow (⇒) indicates alternative names in a distinct category.

The refined coordinates of the atoms in the crystallographic asymmetric unit are stored in the ATOM_SITE category. Atom positions and their associated uncertainties may be given using either Cartesian or fractional coordinates, and anisotropic displacement factors and occupancies may be given for each position.

The relationships between categories describing atom sites are shown in Fig. 3.6.7.1.

Several of the mmCIF data names arise from the need to associate atom sites with residues and chains. As in the core CIF dictionary, the identifier for the atom site is the data item *_atom_site_label*. To accommodate standard practice in macromolecular crystallography, the mmCIF atom identifier is the aggregate of *_atom_site.label_alt_id*, **.label_asym_id*, **.label_atom_id*, **.label_comp_id* and **.label_seq_id*. For the two types of files to be compatible, the data item *_atom_site.id*, which is independent of the different modes of identifying atoms (discussed below), was introduced. The mmCIF identifier *_atom_site.id* is aliased to the core CIF identifier *_atom_site_label*.

Since the identifier does not need to be a number, it is quite possible (although it is not recommended) to use a complex label with an internal structure corresponding to the label components that the mmCIF dictionary provides as separate data items. This scheme is described in Section 3.2.4.1.1. However, normal practice in mmCIFs should be to label sites with the functional components available and to assign a simple numeric sequence to the values of *_atom_site.id* (see Example 3.6.7.1).

In addition to labelling information, each entry in the ATOM_SITE list must contain a value for the data item *_atom_site.type_symbol*, which is a pointer to the table of element symbols in the ATOM_TYPE category. All other data items in the ATOM_SITE category are optional, but it is normal practice to

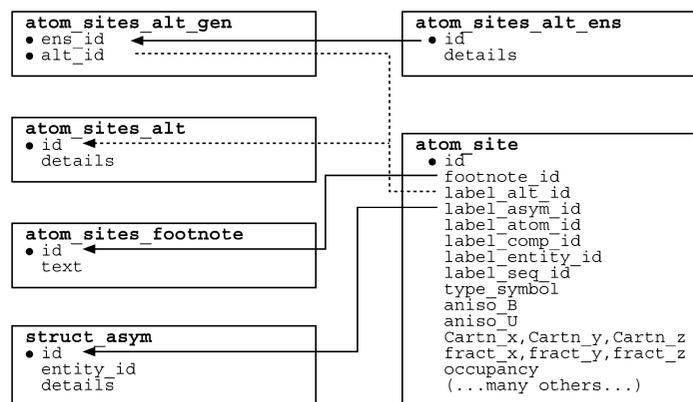


Fig. 3.6.7.1. The family of categories used to describe atom sites. Boxes surround categories of related data items. Data items that serve as category keys are preceded by a bullet (●). Lines show relationships between linked data items in different categories with arrows pointing at the parent data items.

Example 3.6.7.1. Part of the coordinate list for an HIV-1 protease structure (PDB 5HVP) described with data items in the ATOM_SITE category. Atoms are given for both polymer and non-polymer regions of the structure, and atoms in the side chain of residue 12 adopt alternative conformations.

```

loop_
_atom_site.group_PDB
_atom_site.type_symbol
_atom_site.label_atom_id
_atom_site.label_comp_id
_atom_site.label_asym_id
_atom_site.label_seq_id
_atom_site.label_alt_id
_atom_site.Cartn_x
_atom_site.Cartn_y
_atom_site.Cartn_z
_atom_site.occupancy
_atom_site.B_iso_or_equiv
_atom_site.footnote_id
_atom_site.auth_seq_id
_atom_site.id
ATOM N N THR A 12 . 26.095 32.930 14.590
1.00 18.97 4 12 8
ATOM C CA THR A 12 . 25.734 32.995 16.032
1.00 19.80 4 12 9
ATOM C C THR A 12 . 24.695 34.106 16.113
1.00 20.92 4 12 10
ATOM O O THR A 12 . 24.869 35.118 15.421
1.00 21.84 4 12 11
ATOM C CB THR A 12 . 26.911 33.346 17.018
1.00 20.51 4 12 12
ATOM O OG1 THR A 12 3 27.946 33.921 16.183
0.50 20.29 4 12 13
ATOM O OG1 THR A 12 4 27.769 32.142 17.103
0.50 20.59 4 12 14
ATOM C CG2 THR A 12 3 27.418 32.181 17.878
0.50 20.47 4 12 15
ATOM C CG2 THR A 12 4 26.489 33.778 18.426
0.50 20.00 4 12 16
# - - abbreviated - -
HETATM C C1 APS C . 1 4.171 29.012 7.116
0.58 17.27 1 300 101
HETATM C C2 APS C . 1 4.949 27.758 6.793
0.58 16.95 1 300 102
HETATM O O3 APS C . 1 4.800 26.678 7.393
0.58 16.85 1 300 103
HETATM N N4 APS C . 1 5.930 27.841 5.869
0.58 16.43 1 300 104
# - - abbreviated - -

```

give either the Cartesian or fractional coordinates. Most macromolecular structures use Cartesian coordinates. Isotropic displacement factors are normally placed directly in the ATOM_SITE category, using `_atom_site.B_iso_or_equiv`. Anisotropic displacement factors may be placed directly in the ATOM_SITE category *or* in the ATOM_SITE_ANISOTROP category. *U*'s may be used instead of *B*'s. It is not acceptable to use both *U*'s and *B*'s, nor is it acceptable to have anisotropic displacement factors in both the ATOM_SITE category and the ATOM_SITE_ANISOTROP category.

Each atom within each chemical component is uniquely identified using the data item `_atom_site.label_atom_id`, which is a reference to the data item `_chem_comp_atom.atom_id` in the CHEM_COMP_ATOM category.

The specific object in the asymmetric unit to which the atom belongs is indicated using the data item `_atom_site.label_asym_id`, which is a reference to the data item `_struct_asym.id` in the STRUCT_ASYM category. For macromolecules, it is useful to think of this identifier as a chain ID.

The chemical component to which the atom belongs is indicated using the data item `_atom_site.label_comp_id`, which is a reference to the data item `_chem_comp.id` in the CHEM_COMP

category. The chemical component that is referenced in this way may be either a non-polymer or a monomer in a polymer; if it is a monomer in a polymer, it is useful to think of this identifier as the residue name.

The correspondence between the sequence of an entity in a polymer and the sequence information in the coordinate list (and in the STRUCT categories) is established using the data item `_atom_site.label_seq_id`, which is a reference to the data item `_entity_poly_seq.num` in the ENTITY_POLY_SEQ category. This identifier has no meaning for entities that are not part of a polymer; in a polymer it is useful to think of this identifier as the residue number. Note that this is strictly a number. If the combination of a number with an insertion code is needed, `_atom_site.auth_seq_id` should be used (see below).

An alternative set of identifiers can be used for the `*_asym_id`, `*_atom_id`, `*_comp_id` and `*_seq_id` identifiers, but not for `*_alt_id`. The `_atom_site.label_*` data names are standard; there are rules for these identifiers such as the requirement that residue numbers are sequential integers. Different databases may also have their own rules. However, the author of an mmCIF may wish to use a nonstandard labelling scheme, *e.g.* to reflect the residue numbering scheme of a structure to which the present structure is homologous, apart from insertions and gaps. Another situation in which a nonstandard labelling scheme might be used is to follow a local convention for atom names in a non-polymer, such as a haem, that conflicts with the scheme required by a database in which the structure is to be deposited. In these situations, alternative identifiers can be given using the data names (`_atom_site.auth_*`).

In regions of the structure with alternative conformations, the specific conformation to which an atom belongs can be indicated using the data item `_atom_site.label_alt_id`, which is a reference to the data item `_atom_sites_alt.id` in the ATOM_SITES_ALT category.

The chemically distinct part of the structure (*e.g.* polymer chain, ligand, solvent) to which an atom belongs can be indicated using the data item `_atom_site.label_entity_id`, which is a reference to the data item `_entity.id` in the ENTITY category.

Most of the information that needs to be associated with an atom site is conveyed by the values of specific data names in mmCIF. However, for historical reasons, a pointer to additional free-text information about an atom site or about a group of atom sites can be given using the data item `_atom_site.footnote_id`, which is a reference to the data item `_atom_sites_footnote.id` in the ATOM_SITES_FOOTNOTE category.

The data item `_atom_site.group_PDB` is a place holder for the tags used by the PDB to identify types of coordinate records. It allows interconversion between mmCIFs and PDB format files. The only permitted values are ATOM and HETATM.

As in the core CIF dictionary, anisotropic displacement parameters in an mmCIF can be given in the same list as the atom positions and occupancies, or can be given in a separate list. However, DDL2 does not permit the same data names to be used for both constructs. Therefore, in mmCIF, anisotropic displacement parameters presented in a separate list are handled in a separate category with its own key, `_atom_site_anisotrop.id`, which must match a corresponding label in the atom-site list, `_atom_site.id`.

The individual elements of the anisotropic displacement matrix are labelled slightly differently in the mmCIF dictionary than in the core CIF dictionary in order to emphasize their matrix character. However, the definitions of the corresponding data items are identical in the two dictionaries.